

10/25/01

11002 U.S. PTO

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**(Only for new nonprovisional applications under 37  
CFR 1.53(b))

Attorney Docket No. C01104/70002 RFG

First Named Inventor or Application Identifier

C01104/70002 RFG

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Date of Deposit

October 25, 2001

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**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

1. ☐ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.  
☐ Statement filed in prior application,  
Status still proper and desired.
3. ☒ Specification [Total pages 58]  
50 - pages description  
1 - pages abstract  
7 - pages claims 43 - Total claims
4. ☒ Drawing(s) (35 USC 113) [Total sheets: 20]  
☐ Informal ☒ Formal [Total drawings: 1-20]
5. ☐ Oath or Declaration [Total pages 0]  
a. ☐ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)  
[Note Box 6 below]  
i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Incorporation by Reference  
(usable if Box 5b is checked)  
The entire disclosure of the prior application,  
from which a copy of the oath or declaration is  
supplied under Box 4b, is considered as being  
part of the disclosure of the accompanying  
application and is hereby incorporated by  
reference therein. See 19.
7. ☐ Application Data Sheet, See 37 CFR 1.76

ADDRESS  
TO:**Box Patent Application**  
Commissioner for Patents  
Washington, DC 20231

8. ☐ CD-ROM or CD-R, in duplicate, large  
table or Computer Program (Appendix)
9. ☐ Nucleotide and/or Amino Acid Sequence  
Submission (if applicable, all necessary)  
a. ☐ Computer Readable Form (CRF)  
b. ☐ Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ paper (identical to computer copy)  
c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

10. ☐ Assignment Papers/cover sheet &  
documents(s)
11. ☐ 37 CFR 3.73(b) Statement  
(when there is an assignee)  
☐ Power of Attorney
12. ☐ English Translation of Document (if applicable)
13. ☐ Information Disclosure Statement PTO-1449  
☐ Copies of IDS Citations
14. ☐ Preliminary Amendment
15. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
16. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
17. ☐ Request and Certification Under 35 U.S.C.  
§122(b)(2)(B)(ii)

18. Other: This application claims the benefit under 35 U.S.C. §119(e) of the following U.S. provisional applications: Serial No. 60/243,250, filed October 25, 2000, entitled ILLUMINATION OF LIQUIDS; Serial No. 60/296,377, filed June 6, 2001, entitled SYSTEMS AND METHODS FOR CONTROLLING LIGHTING SYSTEMS; Serial No. 60/297,828, filed June 13, 2001, entitled SYSTEMS AND METHODS FOR CONTROLLING LIGHTING SYSTEMS; and Serial No. 60/290,101, filed May 10, 2001, entitled LIGHTING SYNCHRONIZATION WITHOUT A NETWORK.

19. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below or in the body of the application, or a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of co-pending U.S. non-provisional application Serial No. 09/669,121, filed September 25, 2000, entitled MULTICOLORED LED LIGHTING METHOD AND APPARATUS, which is a continuation of U.S. Serial No. 09/425,770, filed October 22, 1999, now Patent No. 6,150,774, which is a continuation of U.S. Serial No. 08/920,156, filed August 26, 1997, now Patent No. 6,016,038.

This application also is a continuation-in-part (CIP) of each of the following co-pending U.S. non-provisional applications: Serial No. 09/215,624, filed December 17, 1998, entitled SMART LIGHT BULB; Serial No. 09/213,607, filed December 17, 1998, entitled SYSTEMS AND METHODS FOR SENSOR-RESPONSIVE ILLUMINATION; Serial No. 09/213,189, filed December 17, 1998, entitled PRECISION ILLUMINATION; Serial No. 09/213,581, filed December 17, 1998, entitled KINETIC ILLUMINATION; Serial No. 09/213,540, filed December 17, 1998, entitled DATA DELIVERY TRACK; Serial No. 09/333,739, filed June 15, 1999, entitled DIFFUSE ILLUMINATION SYSTEMS AND METHODS; Serial No. 09/344,699, filed June 25, 1999, entitled METHOD FOR SOFTWARE DRIVEN GENERATION OF MULTIPLE SIMULTANEOUS HIGH SPEED PULSE WIDTH MODULATED SIGNALS; Serial No. 09/616,214, filed July 14, 2000, entitled SYSTEMS AND METHODS FOR AUTHORIZING LIGHTING SEQUENCES; Serial No. 09/870,418, filed May 31, 2001, entitled METHODS AND APPARATUS FOR AUTHORIZING AND PLAYING BACK LIGHTING SEQUENCES; Serial No. 09/805,368, filed March 13, 2001, entitled LIGHT-EMITTING DIODE BASED PRODUCTS; Serial No. 09/805,590, filed March 13, 2001, entitled LIGHT-EMITTING DIODE BASED PRODUCTS; and Serial No. 09/870,193, filed May 30, 2001, entitled METHODS AND APPARATUS FOR CONTROLLING DEVICES IN A NETWORKED LIGHTING SYSTEM.

Prior application information:

Examiner

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS ONLY: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 20. CORRESPONDENCE ADDRESS

Correspondence address below

CUSTOMER NUMBER:



**23628**

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## 21. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	Joseph Teja, Jr., Reg. No. 45,157
SIGNATURE	
DATE	October 25, 2001